Disobedience and the new pacifism

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Last month I examined the background of the unilateralist movement from the point of view of the theories of pacifism and anti-militarism and of the practices of non-violence and direct action, and suggested that the movement is a new pacifism which combines individual responsibility with collective resistance. Rather than recapitulate my argument, I will quote what Alex Comfort said just after the last war about the need for a new pacifism:

The atomic bomb has brought home to increasing numbers of the public at large that tyranny is not a greater evil than war, because war itself is an instrument of tyranny on the largest scale... Objection is not enough. The objector, particularly the religious objector, is politically irrelevant because he is chiefly interested in safeguarding his own conscientious objection to one aspect of state irresponsibility. You do not want objection, you want resistance, ready to adopt every means short of violence to destroy and render useless the whole mechanism of conscription. It is not enough to secure the immunity and support of religious believers and a politically conscious minority. The opposition of the ordinary man to military service must be canalised. He will not stand up against the machinery of governments and penalties, with the knowledge that his wife and children are hostages, unless he has the consciousness of that powerful, if invisible, support which the European resistance movements gave to the unpolitical man in his opposition to the Germans. Men will defy conscription in defence of their own lives and homes against military adventurers if they know that there is someone to support them. They will act out of an intuitive and thoroughly unpatriotic love of freedom, the sentiment which makes conscription necessary in the first place. The answer to conscription, in England and in every country of the world, is a resistance movement which asks few political credentials of its members... It is by taking the offensive that pacifism will become politically relevant.

This is where we came in. This month I want to examine the background of the unilateralist movement again, this time from the
point of view of the theory of insurrection and of the practice of disobedience.

The Theory of Insurrection

Disobedience against the State is a much older human tradition than direct action against war. Men are authoritarian, obsessed by obedience and inequality and slavery. "No two men can be half an hour together," declared Samuel Johnson, "but one shall acquire an evident superiority over the other"—and he will do his best to keep it. But men are also libertarian, obsessed by disobedience and equality and liberty. The myths of Prometheus and Lucifer, of the revolt of the lesser against the greater, are among the oldest of all; Adam's first action (even before he "knew" Eve) was to disobey his creator. Nor is mythological disobedience mere nihilism. Prometheus brought fire to earth, Lucifer brought light—Adam ate the fruit of the tree of knowledge of good and evil, and he did not die, as God had threatened, but instead his eyes were opened. The State's motto is Befehl ist Befehl. The individual's motto is Non serviam. "Wherever there is a man who exercises authority," said Oscar Wilde, "there is a man who resists authority."

The Communist Manifesto (1848) stated that "the history of all hitherto existing society is the history of class struggles"—of inequality being maintained by the haves and equality being claimed by the have-nots. But there are two confusing factors. One is that many have-nots accept inequality (here is the fact of "voluntary servitude"), and many haves reject it. Marx and Engels themselves were haves who turned their coats, and the same is true of most radical and revolutionary leaders; neither Prometheus nor Lucifer was a man—one was a Titan and the other was an Angel. The motives of disobedience are complicated; so are its intentions. The other confusing factor is that the revolt against a present inequality usually intends not just to destroy it but to replace it by a future inequality based on a different principle—to expropriate the expropriators—and even without the intention the result is usually the same. Every revolution is "betrayed", even if it has no Eighteenth Brumaire, simply because power tends to corrupt and absolute power corrupts absolutely. The Commonwealth of 1649 is followed by the Protectorate of 1653, the Declaration of Rights by the Reign of Terror—the classless society never comes, the State never withers away. "Revolution is the most authoritarian thing imaginable," said Engels; and Landauer said that every new topos just as the old topos led to utopia in the first place. Plus ça change .

This is why Alex Comfort turned Marx on his head: "The war is not between classes. The war is at root between individuals and barbarian society." (If this is bourgeois idealism, then vive la bourgeoise!) The war for freedom is the war against society . . . Revolution is not a single act, it is an unending process based upon individual disobedience." Here we see the distinction made by Max Stirner in The Ego & His Own (1845) between revolution and insurrection: "Revolution aims at new arrangements—revolution aims not at any new arrangements of ourselves but at arrangements by ourselves." Exactly the same distinction, this time between revolution and rebellion, is made by Albert Camus in The Rebel (1951): "The claim of rebellion is unity, the claim of revolution is totality . . . One is creative, the other is nihilist." The revolutionary goal may be liberty and equality, but the revolutionary way leads straight to inequality and slavery. Only insurrection recognises that ends and means are in practice the same, that men cannot surrender their responsibility. Revolution uses dissent at one moment, only to enforce rigid assent at the next—revolutionary disobedience today prepares for even sterner revolutionary obedience tomorrow. Revolution overturns the structure of the State; insurrection overthrows it. Insurrection is the libertarian revolution, undiluted and uninterrupted disobedience, refusing obedience to superiors without seeking it from inferiors, the utopia without any topos. This is the disobedience of the individual against society as well as of the subject against the State, and this individualism lies at the centre of what Alex Comfort, writing in the middle of the last war, called the "ideology of romanticism"—an ideology based on the conviction that the common enemy of man is death, that the common tie of man is victimhood, and that anyone who in attempting to escape the realisation of that victimhood in himself increases its incidence upon others, is a traitor to humanity and an ally of death." Thus "the romantic has only two basic certainties—the certainty of irresolvable conflict which cannot be won but must be continued, and the certainty that there exists between all human beings who are involved in this conflict an indefeasible responsibility to one another . The romantic has two enemies, death, and the obedient who by conformity to power and irresponsibility ally themselves with death." This means that "the romantic recognises a perpetual struggle upon two levels, the fight against death and the struggle against those men and institutions who ally themselves with death against humanity, the struggle against barbarism." The ideology of the new pacifism is precisely this ideology of romanticism, which explains how the new pacifists manage to combine individual responsibility with collective resistance. We are not protozoa, who exist in isolation, nor metazoa, who exist in organic structures, but parazoa—who can pass from one state to the other, thousands of individuals combining and dividing in response to their environment. The new pacifism rests upon shared personal responsibility. In Politics as a Vocation (1918), Max Weber distinguished between the "ethic of ultimate ends" and the "ethic of responsibility". The ideology of romanticism denies any such distinction and insists that the dilemma is imaginary. We say the way and the goal are one—that he who would do good to another," as Blake put it, "must do it in minute particulars"—that in the shadow of the Bomb there is nothing more irresponsible than the "responsible" people who make, test and use it, and nothing more responsible than the "irresponsible" people who resist it in the name of ultimate ends. The only responsibility we will accept is
"a responsibility borne out of a sense of victimhood, of community in a hostile universe, and destined like Prometheus, its central creation, to be the perpetual advocate and defender of man against barbarism, community against irresponsibility, life against homicidal and suicidal obedience."

This is typically the responsibility of the artist and the intellectual, not because artists and intellectuals are any more responsible than other people but because the nature of their work repeatedly forces the question of responsibility onto their attention. George Woodcock said during the last war:

The really independent writer, by the very exercise of his function, represents a revolutionary force... Any honest artist is an agitator, an anarchist, an incendiary. By expressing an independent standard of values he attacks the principle of authority, by portraying the truth according to his own vision he attacks the factual manifestations of authority.

Of course few writers are independent, few artists are honest; but the slightest measure of artistic or intellectual independence and honesty must rest on individual responsibility, and individualism in thought, word and deed means disagreement, dissent and disobedience. "No creative activity is free from the sense of protest", says Alex Comfort. "I believe that the poet is necessarily an anarchist," says Herbert Read; "he has two principal duties: to mirror the world as it is, and to imagine the world as it might be." Or in Shelley's famous phrase, "Poets are the unacknowledged legislators of the world"—not because they have authority but because they deny authority, because they hold children from play and old men from the chimney-corner and speak to them face to face.

A poet here is not just a man who plays with words but a man who creates ideas (poetes is the Greek for "creator"). Think of men who have created ideas in history, even those who were "only" poets in the usual sense. Think of Milton, Shelley and Blake in this country; think of Mayakovsky, Pasternak, and now Yevtushenko in Soviet Russia. And if you ever doubt the power of the written word, think of the Nazis who enslaved Europe but couldn't stop a little Jewish girl writing in her diary; they managed to kill Anne Frank, but as Ernst Schnabel says in The Footsteps of Anne Frank (1958), "Her voice was preserved out of the millions that were silenced, this voice no louder than a child's whisper... and it has outlasted the shouts of the murderers and has soared above the voices of time.

Who remembers the people who persectuted Milton and Shelley and Blake? Who will remember those who have persectuted Mayakovsky and Pasternak and Yevtushenko? No wonder the acknowledged legislators of the world tremble before the poets, the creators of ideas—no wonder Plato would have driven them from his Republic. They are the yeast in society, the only obstacle to entropy.

Take Yevgeni Zamyatin, the Russian writer whose anti-utopian novel We got him into trouble back in the Twenties, so that he was framed in 1929 and forced to leave the country. In 1925 he said, "Then

I was a Bolshevik, now I am not a Bolshevik," and we can see why in an essay he wrote just a few months earlier:

Revolution is everywhere and in all things; it is infinite, there is no final revolution, no end to the sequence of integers. Social revolution is only one in the infinite sequence of integers. The law of revolution is not a social law, it is an immeasurably greater—it is a cosmic, universal law, such as the law of the conservation of energy and the law of the loss of energy, or entropy... into... Red, fiery, death-dealing is the law of revolution; but that death is the birth of a new life, of a new star. And cold, blue as ice, as the icy interplanetary infinities, is the law of entropy. The flame turns from a fiery red to an even, warm pink, no longer death-dealing but comfort-producing. The sun ages and becomes a planet suitable for highways, shops, bed, prostitutes;—that is a law. And in order to make the planet young again, we must set it on fire, we must thrust it off the smooth highway of evolution—that too is a law.

This is simply an imaginative expression of the idea in Landauer's The Revolution (1907), and against it we might put Bart de Ligt's law—The more violence, the less revolution. But Zamyatin was an intellectual—a poet, we may say—and so he is concerned with the intellectual responsibility for resistance to entropy:

Explosions are not comfortable things. That is why the exploders, the heretics, are quite rightly annihilated by fire, by axes, and by words. Hostile ideas are harmful to everybody today, to every evolution, to the difficult, slow, useful—so very useful—constructive process of coral reef building. Improvidently and foolishly they leap into today from tomorrow. They are romantics... It is right and proper that heretical literature, literature which is damaging to dogma, should have its head cut off—such literature is harmful. But harmful literature is more useful than useful literature, because it becomes the basis against calcification, sclerosis, enervation, monotony. It is ridiculous and utopian... Ideas which feed on minced meat lose their teeth just as civilised men do. Heretics are necessary to health. If there are no heretics, they have to be invented.

Trotsky was wrong when he said that "all through history, mind limps after reality," and Gorky was right when he said that "reality always lags behind the human mind." It is the single individual trapped in the topos who creates utopia, and whenever "utopia" is used as a term of abuse we should remember what Oscar Wilde said about it:

A map of the world that does not include Utopia is not worth even glancing at, for it leaves out the one country at which Humanity is always landing. And when Humanity lands there it looks out and sees a better country, sets sail. Progress is the realisation of Utopia.

The new pacifists are talking about Jerusalem, they are heretics, utopians, romantics. Remember Kingsley Amis' definition of political romanticism as "an irrational capacity to become inflamed by interests and causes that are not one's own, that are outside oneself", in his Socialism and the Intellectuals (1957). Above all the new pacifists are individualists, for their sort of disobedience can only work upwards against the State, and outwards against the servile society from the individual. Several of them can disobeY at the same time, but their action remains individual. Direct action that is totally non-violent and altruistic—unattached—remains individual action even if thousands take part. Unilateral action has always been voluntary and free from external discipline, free sometimes even from organisation. No wonder
the new pacifism is a movement of the alienated and discontented middle-class—that the Aldermaston march is a mobile and the Trafalgar Square sit-down a stationary Soviet of Intellectuals, Students and Bohemians—and no wonder that the new pacifists are so much happier with civil disobedience than they are with genuine direct action.

But it would be a mistake to think that they are necessarily ineffectual, just as it would be a mistake to think that someone like E. M. Forster, for example, is ineffectual. Of course he does seem so in this ruthless age, but his novels are not just word-patterns—they are time-bombs ticking away beneath society, resisting entropy, exploding in one mind after another, saying over and over again: Only connect. Just before the last war he tried to connect what he saw with what he believed. "I do not believe in Belief," he began; "I have, however, to live in an Age of Faith... and I have to keep my end up in it. Where do I start? With personal relationships." And he went on to make his individual but far from ineffectual confession:

I hate the idea of causes, but if I had to choose between betraying my country and betraying my friend, I hope I should have the guts to betray my country... Probably one will not be asked to make such an agonising choice. Still, there lies at the back of every creed something terrible and hard, for which the worshipper may one day be required to suffer, and there is even a terror and hardness in this creed of personal relationships, urbane and mild though it sounds. Love and loyalty to an individual can run counter to the claims of a State. When they do—down with the State, say I, which means that the State would down me.6

Forster is not an anarchist, though his creed of "personal relationships" is no distance at all from "mutual aid". He expresses support for democracy—"two cheers for democracy: one because it admits variety and two because it permits criticism"—but also for aristocracy:

not an aristocracy of power, based upon rank and influence, but an aristocracy of the sensitive, the considerate and the plucky... They represent the true human tradition, the one permanent victory of our queer race over cruelty and chaos... an invincible army, yet not a victorious one... All people that have lived to be true to themselves are false, and all attempt to compile true to fail... The Saviour of the future—if he ever comes—will not preach a new Gospel. He will merely utilise my aristocracy, he will make effective the good will and the good temper which are already existing. In other words he will introduce a new technique.

We could guess what the new technique will be, and claim that we are trying to use it ourselves.

Forster has dropped other hints of similarly revolutionary force, drawing attention to "Fabio-Fascism" in 1935—"the dictator spirit working quietly away behind the façade of constitutional forms"—and always asserting his obstractive individualism against his collectivist environment. In 1942 he quoted an imaginary artist: "I know I don't fit in. And it's part of my duty not to fit in." In 1949 he defended "art for art's sake" and also "the bohemian, the outsider, the parasite, the rat"—adding, "I would sooner be a swimming rat than a sinking ship..." And in 1951 he said: "Though we cannot expect to love one another, we must learn to put up with one another. Otherwise we shall all of us perish." How much saner this is than Auden's famous cry—and how oddly reminiscent of Lawrence: "People must live together."

Forster has never been a man of action, but his defence of disobedience is exactly the same as Alex Comfort's, which shows once more the close link between liberalism and anarchism, freedom in theory leading to freedom in practice. What Comfort said on the radio only repeated what Forster had already said, but gave it an edge:

Responsibility to our fellow men as individuals transcends all other allegiances—to local groups, to nations, to political parties. All these subsidiary allegiances, which are so numerous, are substitutes for human beings.7

There is the liberalism. Now for the anarchism:

For us as individuals, the only immediate defence against official delinquency lies in our own action. The concentration camps and the atom bombs are the fantasies of psychopaths. They become realities when other individuals are ready to acquiesce in them, to guard them, to make them, and to use them...

There is no tyranny which is independent of its public. There is no delinquent policy in any contemporary culture which could be carried out in the face of sufficiently widespread public resistance... There is one revolution we can all produce at once, in the privacy of our own homes. We may not be able to prevent atrocities by other people, but we can at least decline to commit them ourselves... This revolution is something no party or government is going to do for you. You have to do it yourself, beginning tomorrow.

And this goes straight back to another seemingly ineffectual figure, Henry David Thoreau. Thoreau was so unpolitical that he preferred to live completely alone, but he had nothing to learn about the realities of politics. He refused to pay his poll-tax to a State which was maintaining slavery and was fighting a war of conquest in Mexico, and he was imprisoned in the Concord town jail for his pains. His reflections on that experience have become a classic text of disobedience:

It is not a man's duty as a matter of course to devote himself to the eradication of any, even the most enormous wrong: he may still properly have other concerns to engage him. But it is his duty at least to watch his hands not to give it practically his support. If I devote myself to other pursuits and contemplations, I must first see at least that I do not pursue them sitting upon another man's shoulders... What I have done is to see at any rate that I do not lend myself to the wrong which I condemn.8

Yes, says the conventional dissenter, the liberal—the socialist?—but why break the law instead of trying to change it in the usual way?

Unjust laws exist: shall we be content to obey them, or shall we endeavor to amend them? and obey them until we have succeeded, or shall we transgress them at once?... Under a government which imprisons any unjustly the true place for a just man is also a prison... As for adopting the common course which the State has provided for remodelling the evil, I know not of such ways. They take much time, and a man's life will be gone. I have other affairs to attend to. I came into this world not chiefly to make this a good place to live in, but to live in it, be it good or bad. A man has not everything to do, but something.

Thoreau wasn't an anarchist either. Although he agreed with Jefferson's motto "That government is best which governs least"
and with its corollary "That government is best which governs not at all," he added: "But, to speak practically and as a citizen, unlike those who call themselves no-government men, I ask for not at once no government but at once a better government." Nevertheless we can see that the implications of his action and of his essay are purely anarchist, and we can share his thoroughly anarchic attitude to his self-righteous law-abiding fellow-citizens:

I think we should be men first, and subjects afterwards . . . I quarrel not with far-off foes, but with those who near at home co-operate with and do the bidding of those far away and without whom the latter would be harmless . . . There are thousands who are in opinion opposed to slavery and to the war, who yet in effect do nothing to put an end to them . . . They hesitate, and they regret, and sometimes they petition, but they do nothing in earnest and with effect. They will wait, well disposed, for others to remedy the evil, that they may no longer have it to regret. At most, they give only a cheap vote and a feeble countenance and god-speed to the right as it goes by them. There are 999 patrons of virtue to one virtuous man . . . Even voting for the right is doing nothing for it. It is only expressing to men feebly your desire that it should prevail . . . How can a man be satisfied to entertain an opinion merely, and enjoy it? . . . Cast your whole vote, not a strip of paper merely, but your whole influence. A minority is powerless while it conforms to the majority; it is not even a minority then; but it is irresistible when it clogs by its whole weight.

And anyone who has spent even just a few hours in jail after unilateralist demonstrations will recognise Thoreau's reaction to his night inside in the summer of 1845:

I saw that if there was a wall of stone between me and my townsmen, there was a still more difficult one to climb or break through before they could get to be as free as me . . . I saw that the State was not so fastidious as it was as timid as a lone woman with her silver spoons, and that it did not know its friends from its foes, and lost all my remaining respect for it, and pity it . . . I saw more distinctly the State in which I lived. I saw to what extent the people among whom I lived could be trusted as good neighbors and friends—that their friendship was for summer weather only, that they did not greatly propose to do right . . . I think sometimes, "Why, this people mean well, they are only ignorant, they would do better if they knew how—why give your neighbors this pain to treat you as they are not inclined to?" But I think again, "This is no reason why I should do as they do, or permit others to suffer much greater pain of a different kind."

It is easy to think of his disobedience as primarily inner-directed, as a form of conscientious objection; but he certainly thought of it as other-directed, as a form of propaganda by deed. Remember that he read his lecture to the very fellow citizens he was scornful about, and that he originally called it Resistance to Civil Government rather than Civil Disobedience. He hoped to improve society, but he happened to be a transcendentalist and an individualist first and a man of action afterwards. Even so, he remarked that "any man more right than his neighbors constitutes a majority of one," and he declared that "if one honest man in this State of Massachusetts, ceasing to hold slaves, were actually to withdraw from this co-partnership and be locked up in the county jail therefor, it would be the abolition of slavery in America." And he was one of the few people who spoke out for John Brown when he withdrew from the co-partnership and defied the State of Virginia at Harper's Ferry in October 1859, and was hanged therefor —John Brown whose body lies a-mouldering in the grave, but whose soul went marching on, and the abolition of slavery in America came in less than three years.

Individual disobedience, which is the result of individual disagreement and dissent, is not in itself a cause of change, but it can be a most potent catalyst precipitating change. The romantic defiance of death and decay cannot prolong life or youth or love, but it can give them meaning. Non-violent insurrection may not topple the Warfare State, but it will certainly shake it and it will also give meaning to the life and youth and love of the insurgents. Disobedience is not calculable or predictable; and when Shelley or Blake, or Thoreau or Tolstoy, or Forster or Russell, or other people with sharp pens disobey or justify disobedience, or both, who is to say how far it will spread? "I simply wish to refuse allegiance to the State, to withdraw and stand aloof from it effectually," says Thoreau. "In fact I quietly declare war on the State according to my fashion." "I only know that on the one hand the State is no longer necessary for me," says Tolstoy, "and that on the other I can no longer do the things that are necessary for the State." "We must stand aside," says Lawrence. "There is no such thing as the State," says Auden, "and no one exists alone." "We appeal to the conscience of man," says Ruskin, "We seek to persuade them by our example. We disobey because in all conscience we have no choice but to disobey." "I give you disobedience as the last standard for the human being of today," says Alex Comfort on the BBC. "Damn you England," says John Osborne in his modern home thoughts from abroad—and we are not alone. "No indeed, for these are the cries of the heretics, the incendiaries and the agitators down the centuries. Remember what Oscar Wilde said about agitators:

No class is ever really conscious of its own suffering. They have to be told of it by other people, and they often entirely disbelieve them . . . Agitators are a set of interfering, meddling people, who come down to some perfectly contented class of the community, and sow the seeds of discontent amongst them. That is the reason why agitators are so absolutely necessary. Without them, in our incomplete state, there would be no advance towards civilisation.

Anyone who upsets people is an agitator, anyone who disturbs the equilibrium, who opposes entropy with energy—"energy is the only life," said Blake, and agitators conduct energy from the quick to the dead. Agitators are not just pamphleteers or speakers at street-corners and factory-gates, not just John Ball and John Lilburne and Tom Paine and William Morris—but all poets, all creators of new ideas, all observers of the world and prophets of the world to come. Simply to describe an evil is to agitate against it. Of course many agitators are not conscious of their activity, but all of the consciously or unconsciously sow the seed of discontent and disagreement, which grows into the plant of dissent, whose fruit is disobedience. The seed may fall on stony
ground, it may be choked or uprooted, but some will always grow. You can’t fool all of the people all of the time.

The new pacifists are agitators who will not stop growing up. T. S. Eliot once said with distaste: “The ideas of Shelley seem to me always to be the ideas of adolescence.” He was quite right. Kingsley Martin has called the extreme unilateralists “infantile leftists”. He is quite right (though we are adolescent rather than infantile—children do what they are told in the end). The romantic view of life and death is the adolescent view. The sense of personal responsibility for good and evil is the adolescent sense. The taste for Shelley and Beethoven rather than Pope and Bach is the adolescent taste. It is adolescents who make mistakes, adults who avoid them—but the person who doesn’t make mistakes doesn’t make anything. It is bad to be infantile, but it is worse to become adult; we should grow up, but we should never stop growing, questioning, agitating, disobeying. Perhaps we are one-eyed, but we are living in the Country of the Blind. Perhaps we are neurotics who keep on disobeying our political parents (or at least we behave as if we were). But our political parents are psychotics, psychopaths living in a world of fantasy (or at least they behave as if they were). Their games of ‘chicken’ are far more delinquent than anything we could think of, and far more dangerous, which is the important point. They are professional pyromaniacs when we are just amateur incendiaries—they destroy people when we just disturb them. And they will destroy us.) Is it true that we don’t destroy? The whole idea is: is it true that we destroy enough sane people first. Which madness do you prefer—theirs or ours? Which situation do you choose—Montagues and Capulets, or Romeo and Juliet?

The way things are going, we’re already dead; but we won’t lie down. We are living in a world where faith is always misplaced and hope is always betrayed, and somehow we contrive to keep faith and hope alive; we try to keep charity alive too, though it is difficult. We are puritans, not those who have a sense of sin and shame, but those who have one of conscience and responsibility—who have what E. M. Forster at the Lady Chatterley Trial called “this passionate opinion of the world and what it ought to be, but is not.”

The Practice of Disobedience

Our youthful disobedience against the Warfare State must be non-violent, for devils cannot cast out devils, and violent resistance to war is more likely to spread than to stop it. Violence in human history has brought us to the concentration camps and the Bomb. It is time to call a halt, to listen to Camus: “Instead of killing and dying to create what we are not, we must live and live to create what we are.” It is time to accept the categorical imperatives of Kant: “Act only according to a law which you would like to be universal . . . Treat every human being as an end, not a means.” Or the analogous imperatives of Alex Comfort: “I am responsible for seeing that I do nothing which harms any other human being and I leave nothing undone which can reduce the amount of preventable suffering and failure . . . When you are asked to choose between a personal action which causes suffering and a hypothetical evil which will result if you refuse, choose the hypothetical evil.” Or quite briefly what Camus said in The Plague (1947): “I know that in this world there are plagues and there are victims, and it is up to us not to ally ourselves with the plagues.” Of all the plagues, war—has been the worst and will, unless we move quickly, be the last.

It is important to understand what has happened in the unilateralist movement, where disobedience to the Warfare State is most effective today, and to do so I think we need the help of Sorel. It may seem odd to go to such a man for lessons about non-violent resistance, but that is only because he is better known for his praise of violence than for his other, more valuable, ideas. One of the most valuable is that of the myth, and one of the most important lessons we must learn is the place of the myth in our ideology. Every active ideology depends on a utopia and a myth, one vision of what the future will be and another of how to get there. The utopia is static, the myth is dynamic. Adler said “man is a teleological animal”, and his telos or goal is not so much the ultimate utopia as the immediate myth—a sort of condenser into which we feed our energy and from which we take our energy back when we need it. The Christian utopia is the Kingdom of Heaven; the liberal utopia is parliamentary democracy; the socialist utopia is the classless society developing from the dictatorship of the proletariat; the anarchist utopia is a society developing from the practice of mutual aid. The Christian myth is the Last Judgement; the liberal myth is the parliamentary election based on universal franchise; the socialist myth is the authoritarian revolution based on the proletarian rising; the anarchist myth is the libertarian revolution based on the general strike.

Seen in this light, the pacifist utopia is world peace developing from universal disarmament and international reconciliation. But the pacifist myth? Until recently there was no real pacifist myth, and this was the fatal defect of pacifism, because although pacifists knew what they wanted the future to be they didn’t know how they were going to get there. But many of them borrowed the anarchist myth of the general strike, and more particularly the anarchosyndicalist myth of industrial direct action. (The relevance of Sorel is heightened by the fact that this was the myth he was most interested in.) Direct action is the dominant myth of the new pacifists.

Here I want to introduce another of Sorel’s valuable ideas, that of diremption—the “tearing apart” of a movement or a system by ruthlessly realistic (almost cynical) analysis in order to uncover the facts of the case rather than the fiction which disguises them. This was the idea that led James Burnham to call Sorel a Machiavellian; we can only regret that Sorel didn’t apply it more rigorously to his own system. But it is a most useful technique, and I think it should be used on the new pacifism. If anyone objects that we shouldn’t rock the boat, my simple answer is that of Thomas Mann: “A harmful truth is always better than a useful lie.” But before I examine the unilateralist myth,
I should like to recall what Sorel said about myths in general:

Men who are participating in a great social movement always picture their coming action as a battle in which their cause is certain to triumph. These constructions, whose knowledge is so important, I propose to call myths. Myths are not descriptions of things but expressions of a determination to act... A myth cannot be refuted, since it is at bottom identical with the convictions of a group... The myth must be judged as a method of acting on the present; any attempt to discuss how far it can be taken literally as future history is senseless... for there is no process by which the future can be predicted scientifically.¹¹

So anything I say which seems uncomplimentary to unilateralist action is meant to be enlightening rather than insulting.

Now the unilateralist movement, as everyone knows, is divided more or less into two main factions, though of course many people work quite happily in both. Its history will be told—if there is time to tell it—not in terms of the shift from Little Englander isolationism to “positive neutrality” or of the particular disarmament plans that have been put forward, but in terms of the deepening conflict between persuasion and resistance, between the techniques of orthodox demonstration and agitation and of unorthodox direct action and civil disobedience. The orthodox faction, which takes a roughly “Fabian” line, is represented by CND, and the unorthodox faction first by DAC and now by the Committee of 100. The policy of CND has always been that of conventional political action: the policy of DAC and of most supporters of the Committee of 100 has always tended towards direct action. At once we come up against the difficulty that in the unilateralist context “direct action” must be interpreted metaphorically rather than literally, as I suggested last month. It is an expression of a determination to act, not a description of a thing—and moreover it is an imitation of an earlier expression of a determination to act.

The idea of direct action comes of course from syndicalist doctrine, where it involves a general stay-in strike and decentralised do-it-yourself revolution, as opposed to the more familiar coup d'état by an elite at the head of a levée en masse. In theory, unilateralist direct action involves an analogous pre-emptive strike against war and decentralised do-it-yourself disarmament, as opposed in this instance to disarmament carried out constitutionally by a Labour Party converted by the CND pressure group. In practice, however, unilateralist direct action involves nothing of the kind, and is even more mythical than syndicalist direct action. In the Labour Movement minor direct action (strikes, boycotts, go-slow, etc.) may not have led to a general strike but it has led to something. In the unilateralist movement it has led to nothing: in fact none of the “direct action” demonstrations against the Bomb actually qualifies as direct action at all.

Let’s face facts. A non-violent blockage by a few devoted cranks of a single entrance to a remote military base, which is tolerated by the authorities for a few hours and then cleared and punished by small fines and prison sentences, cannot even begin to constitute a real threat to the Warfare State—though no doubt it counts as conduct prejudicial to good order and discipline. After all, direct action can only be taken in one’s own life and work: it must also be action, as David Wieck said last month, which “realises the end desired”—or at least has a chance of doing so. The so-called “direct action” demonstrations have really been what April Carter calls “symbolic action”¹² and have functioned as a form of propaganda by deed. But they aren’t very effective deeds; nor are they very effective propaganda, if by propaganda we mean something more than preaching to the converted and encouraging each other. How many working-class people have left their jobs in or even gone on token strike against armament production? How many middle-class people have really committed themselves in their private and professional lives, not just in opinion and occasional demonstration? How many decent-minded scientists and technologists and technicians work on defence? How many people realise that we are already involved in the next war before it is declared, just as the Germans were already involved in the Nazi régime before it was established? How many people see that war—all war—is mass murder?

Very few—and direct action is only possible when very many people not only refuse to join but actually leave the growing Doomsday Machine and in the end paralyse it. There are more new pacifists than there were old pacifists, but there are still very few—we march and sit in splendour but rather terrifying isolation. The new pacifism is still after all an overwhelmingly middle-class movement (and the middle-class has no tradition of direct action). It belongs to the tradition of minority rather than the tradition of majority revolution. It has no class basis in the Marxist sense; we want to be a mass movement, but we aren’t within mileage of distance. If Shelley wrote a new Masque of Anarchy today, he would have to say: “They are many, ye are few.”

We should come to terms with this difficulty instead of trying to pretend it isn’t there. “Wiseful thinking,” as Peter Cadogan says, “has nothing to do with the case.” But the myth blinds us. Too many people who support DAC suffer from a delusion of grandeur, from what might be called the sickness of political optimism—the tendency to swallow one’s own propaganda. We haven’t got a mass movement, but we want one, so we believe we have got one. We haven’t taken direct action, but we want to, so we believe we have taken it. What we have really got is a small but growing movement: what we have really done is to fumble towards direct action. Unilateralist action so far has never been more than a sort of non-violent sabotage. We are still cranks, still defying our political parents instead of rejecting them altogether. We offer to the State not so much a clenched fist as two fingers—and what is a sit-down on the paving stones of Trafalgar Square or in the mud outside the Wetherfield base, when all is said and done, but a bloody great raspberry in our rulers’ faces?

The myth of direct action leading to a general strike against war and against the Warfare State is the right myth for us to have, but it is still only a myth. Of course we must try to make it a reality, but we must also try to recognise the reality of what we are doing. And what
drag us about, and throw us into fountains and puddles, and fine us and imprison us; they beat up Adam Roberts in a police station; they try to deport Ralph Schoenman; they give George Clark nine months for “inciting” us to do what we were already doing; they give five men 18 months and one woman 12 for breaking the Official Secrets Act in 1962, just as they gave three anarchists nine months under Regulation 39a in 1945, just as they sent Quakers and socialists to prison under Regulation 27c thirty years before that, just as they always bring down the State sledge-hammer on anyone who really challenges military obedience, irrespective of whether the challenge is effective or not. The Wethersfield demonstration last December had no more immediate effect on military obedience than the publication of War Commentary throughout the last war or all the conscientious objection there ever was—but it became a really effective act of disobedience (and even of direct action) as soon as it was shown to disturb the State so much. The plan to invade the Wethersfield base began as a tactical error (just like the earlier plans to obstruct the sites at North Pickenham and Harrington) because it was ill-timed and ill-organised; but it became a success after the event, and the tactical error since then has been the decision not to go straight back to Wethersfield but instead back into central London—when we rock the State on its pedestal we should give it another push, not stand back and congratulate ourselves.

“Freedom—is it a crime?” demanded Herbert Read at the time of the Anarchist Trial. Understood by his definition—as “the will to be responsible for one’s self”—then of course freedom is a crime, because it replaces the law of man with the law of conscience, principle, decency, inner light, responsibility, God, or what you will. The freedom to take the direct action of a token obstruction of a military base or to commit the civil disobedience of a token assembly in a prohibited place, even while observing the disciplines of non-violence and openness, even while affecting no one, is both a political and a criminal offence. It is impossible to disobey if there is no authority, impossible to resist if there is no power. Of course the State will punish us as savagely as it dares in this gentle island. How can it be otherwise? When liberals (by which I also mean most socialists) complain that the sentences on February 20th were too severe, that the judge was unfair, that the charge was inapplicable, and when they bring out all the familiar civil liberties grievances such as police brutality, they are forgetting that this is what the State is for, what government is about: this is our rulers’ job. How else can law and order be maintained? How can anyone pretend that the Wethersfield demonstration was not prejudicial to the safety and interests of the State? Of course it was, and so are all demonstrations of even the most pitiful protest against the Bomb. Any man’s death diminishes me, and any man’s resistance diminishes the State.

We have a difficult struggle with both the Warfare State and the Welfare State—difficult because they overlap so much. For the first we want revolution, and for the second devolution. As Alex Comfort put it, “One is perpetually at sea with Captain Bligh—when he orders the
taking in of sail, he is obeyed with perfect discipline; when he orders us to fly a gnom, not a soul stirs." In our chosen field we must exercise not the right but the duty of disobedience, aggressive and defensive as the circumstances demand; our principles tell us not what to do but how to do it. We must remember that the only good soldier is Schweik, who ends by being taken prisoner by his own side; the only good spy is Our Man in Havana, who gets the OBE for inventing secrets; the only good citizen is K, who is beheaded for nothing more than existing. We may not be beheaded, but even our mild State can use the guillotine siche pretty efficiently. There will be victims; we shall be among them, whether we suffer from punishment or from the shame of giving in. Auden's Unknown Citizen "always held the proper opinions for the time of the year"; we are proud because we don't do that. But "when there was peace, he was for peace; when there was war, he went"—we must try not to do that either.

We won't have an easy victory, if we have a victory at all, but let's make sure they don't have an easy victory either. As Alex Comfort said, the struggle is "Man against Obedience, Man against Death. If we cannot win the second battle, we can at least win the first." We should remember his words, for his is the true voice of nuclear disarmament, much more than that of Bertrand Russell or anyone else:

We have one enemy, irresponsible government, against which we are committed to a perpetual and unrelenting maquis. Every government that intends war is as much our enemy as ever the Germans were. Atrocities are not only the work of sadists. They are the result of obedience, an obedience which forgets its humanity. We will not accept that obedience. The safeguard of peace is not a vast army but an unreliable public.

I began with a long quotation from Comfort, and I should like to finish with an even longer one. At the end of the last war he wrote its obituary and drew its moral. What he said is as valid and valuable today as it was then, when he was a very young man who kept his head when all about were losing theirs, and I can think of nothing better to say to very young people who are trying to do the same thing eighteen years later:

This war has not been unique. Its lesson is identical with the lesson of every previous war. The record of it is the record of the incredible, somnambulant heroism of the people of both sides, and the corruption and duplicity of their governments. The outcome of it has been the same outcome as in every previous war—the peoples have lost it. Yet the war has been unique in one respect. It has shown as never before that society is the enemy of man—not one economic form of society, capitalist or socialist, but all irresponsible society—and that in peace as in war the only final safeguard of freedom is the ultimate willingness of the individual to dis obey. If I say that it would have been better to have lost the war, and learned thereby to be enemies of society, than to have won it and to be integrated, gleichgeschaffen, those who have been through this agony will understand me. We know that murder is real, atrocities are real, because we have committed them. We know that war is unforgivable because we have forgiven it. In the battle for responsible action we have learned that only the single, isolated, unarmed partisan, relying on his wits, is able to act responsibly, and if society catches up with him, that is goodnight. The army of decent individuals, the somnambulists of freedom, lose a fighter and close up the gap. And the Nazis who destroy people. Society is a machine for doing that very thing.

Barbarian society is rooted today in obedience, conformity, conscription, and the stage has been reached at which, in order to live, you have to be an enemy of society. The choice is not between socialism and fascism but between life and obedience. Every atrocity of the war was the direct consequence of somebody obeying when he should have thought. We have to learn the lesson of resistance, evasion, disappearance, which the occupation taught the people of France. I hope so to instruct my sons that they will give the recruiting agent the one reply he merits—a good eyeful of spit. War is a two-headed penny, and the only way to treat it is to sling it back at those who offer it to you. It will be a new just cause next time, and when they begin to say, 'Look, injustice!' you must reply, 'Whom do you want me to kill?'

You can abolish firing-squads only by refusing to serve in them, by ramming the rifle down the throat of the man who offers it to you if you wish—not by forming a firing-squad to execute all other firing-squads. We worse... Armed revolution can succeed, but armed revolution, being cannot salvage society by obeying it; we cannot defend the bad based on power, has never succeeded in producing anything but tyranny.

The maquis of the war may allow themselves to be reabsorbed into the structure of citizenship. We will be the maquis of the peace. Our only weapon is responsibility. Murder and sabotage are not responsible weapons—they are the actions of desperate men or imbeciles. We are desperate men but not imbeciles. We do not refuse to drive on the left hand side of the road or to subscribe to national health insurance. The sphere of our disobedience is limited to the sphere in which society exceeds its powers and its usefulness.

Up till now, it has been an article of pride among English politicians that the public would shove its head into any old noose they might show it—unflinching, steadfast patriotism, unshakable morale—obedience and direct action. We are going to alter that. When enough people respond to the invitation to die not with a salute but with a smash in the mouth, and the mention of war empties the factories and fills the streets, we may be able to talk about freedom. The people learn slowly, and learn incompletely. They remain somnambulists, but the pressure of the times moves them. They will be loudly congratulated after the peace, and quietly diddled after that. But they are learning the lessons of the war, not unique lessons, but as old as humanity, the lessons of the romantic ideology, of responsibility and disobedience.

1. Peace & Disobedience (1946), a lecture published by the PPU.
4. On Literature, Revolution & Entropy (1924), first published as a reply to Trotsky's Literature & Revolution (1924), reprinted in Partisan Review 3-4 last summer. We has never been published in this country.
Resistance to civil government

Law never made men a whit more just; and, by means of their respect for it, even the well-disposed are daily made the agents of injustice. A common and natural result of an undue respect for law is that you may see a file of soldiers, colonel, captain, corporal, privates, powder-monkeys and all, marching in admirable order over hill and dale to the wars, against their wills, ay against their common sense and consciences, which makes it very steep marching indeed, and produces a palpitation of the heart. They have no doubt that it is a damnable business in which they are concerned; they are all peaceably inclined. Now what are they—men at all—or small moveable forts and magazines at the service of some unscrupulous man in power? . . . The mass of men serve the State thus, not as men mainly, but as machines, with their bodies. They are the standing army, and the militia, police, constables, etc. In most cases there is no free exercise whatever of the judgment or of the moral sense: but they put themselves on a level with wood and earth and stones; and wooden men can perhaps be manufactured that will serve the purpose as well. Such command no more respect than men of straw or a lump of dirt. They have the same sort of worth only as horses or dogs. Yet such as these even are commonly esteemed good citizens. Others—as most legislators, politicians, lawyers, ministers and office-holders—serve the State chiefly with their heads; and as they serve the Devil, without intending it, as God. A very few—as heroes, patriots, martyrs, reformers in the great sense, and men—serve the State with their consciences also and so necessarily resist it for the most part; and they are commonly treated as enemies by it.

HENRY DAVID THOREAU

The state and society

COLIN WARD

When G. D. H. Cole died, I remember being amazed as I read the tributes in the newspapers from people like Hugh Gaitskell and Harold Wilson alleging that their socialism was learned from him here, for it had always seemed to me that his socialism was of an entirely different character from that of the politicians of the Labour Party. Among his obituarists, it was left to a dissident Yugoslav communist, Vladimir Dedijer, to point out what this difference was; remarking on his discovery that Cole “rejected the idea of the continued supremacy of the State” and believed that “it was destined to disappear.”

For Cole, as for the anarchist philosophers from Godwin onward, the distinction between society and the state was the beginning of wisdom, and in his inaugural lecture in the Chair of Social and Political Theory in this university, he remarked that “I am well aware that it is part of the traditional climate not only of Oxford, but of academic teaching and thinking in Great Britain, to make the State the point of focus for the consideration of men in their social relations”, and went on to declare his belief that “Our century requires not a merely Political Theory, with the State as its central problem, but a wider Social Theory within which these concepts and relations can find their appropriate place.”

For him this demanded a “pluralism” which recognises the positive
value of the diversity of social relationships, and which repudiates what he called "the Idealist notion that all values are ultimately aspects of a single value, which must therefore find embodiment in a universal institution, and not in the individual beings who alone have, in truth, the capacity to think, to feel and to believe, and singly or in association, to express their thoughts, feelings and beliefs in actions which further or obstruct well-being—their own and others."

This particular rejection of the Idealist theory of the State was voiced in 1945, the year when the States that liquidated Hiroshima and the State that liquidated the Kulaks celebrated their victory over the State that liquidated the Jews. If you think that people’s personal philosophies are a response to the experience of their own generation, you would have expected that year, of all years, to have initiated a period in which vast numbers of people, recoiling from this objectionless in the nature of the state—all states—would have begun to withdraw their allegiance from their respective states, or at least to cease to identify themselves with the states which demanded their allegiance.

But the wave of rejection of the grand, all-embracing, and ultimately lethal political theories has been very largely a movement of . . . professors. You have only to think of the strands contributed to the rejecting of political messianism and historical determinism by Cole’s successor, Professor Berlin, or by Professors Popper, Oakshott and Talmon. It has come from the right and the centre, and to a lesser extent from the left, but it does not seem to have been accompanied by a new theory of society and the state and of the relationship between them.

In the loose, and no doubt, erroneous way in which we attach currents of thought to particular decades, we can characterise the nineteen-fifties as the period of the attack on messianic political theories and on “ideologies”, and we can note how it coincided with that period in the early fifties when the most important topic discussed among the intelligentsia was the social make-believe of U and non-U, while a new generation was lamenting that there were no longer any causes to get worked up about. Then suddenly the climate changed and thinking people found themselves face to face with those ultimate questions of social philosophy on which the professors had given us such tantalising hints. Suez, Hungary, the Bomb, the dethronement of Stalinism, must have made millions of people in both East and West ask themselves those questions which resolve themselves in the question “To whom do I owe allegiance, and why?”

Do I belong to myself or to somebody else, or something else? Are my social obligations to the many informal and overlapping social groups to which I adhere of my own volition and can withdraw from if I wish, or to an entity which I have not joined, and which assumes the existence of a contract to which I have not put my hand? Are my loyalties to society or to the state?

These are not academic questions. They are being answered today by the state in its Central Criminal Court, where it is arraigning those members of the Committee of 100 who have dared to assert, through disobedience, that their loyalties lie elsewhere.

“We have to start out” declared Cole in 1945 “not from the con- tradicted ideas of the atomised individual and of the State, but from man in all his complex groupings and relations, partially embodied in social institutions of many sorts and kinds, never in balanced equilibrium, but always changing, so that the pattern of loyalties and of social behaviour changes with them.” This approach which is both pluralistic and sociological in its orientation, explains the sympathy which Cole felt for anarchists like Kropotkin, who also sought “the most complete development of individuality combined with the highest degree of voluntary association in all its aspects, in all possible fields, for all imaginable purposes . . . ever modified associations which carry in themselves the elements of their durability and constantly assume new forms which answer best the multiple aspirations of all.”

Cole’s “pluralism” had its ancestry, I believe, partly in the eclectic and libertarian tradition that runs through English socialism, and partly from an academic tradition through Maitland from Gierke and those early German sociologists who reacted against German idealistic philosophy. It was echoed recently by Professor Edward Shils, in expressing his regret that what he calls the “pluralistic theory” has “over the years, degenerated into a fragment of antiquated syllabus on courses in Government and Political Science.” He thinks that it is ready for “a new and better life” because of its relevance to the needs of the “new” nations of Africa and Asia, since they are said to lack what Gunnar Myrdal calls an infra-structure which is defined as “the complex network of civic and interest organisations, co-operative societies, independent local authorities, trade unions, trade associations, autonomous universities, professional bodies, citizen’s associations for civic purposes and philosophic groups, through which a participation more effective than that afforded by the usual institutions of representative government could be achieved.”

Well, I don’t know why pluralism and the infra-structure it implies should be confined to the trunk of cut-off political clothes which we hope might come in handy for our poor relations in the “new” nations. I want some more effective infra-structure here, and I want a more effective participation too, and like Myrdal, I see it arising from a strengthening of society at the expense of the state. When we look at the powerlessness of the individual and the small face-to-face group in the world today, and ask ourselves why they are powerless we answer not merely that they are weak because of the vast central agglomerations of power (which is obvious), but that they are weak because they have surrendered their power to the state. It is as though every individual possessed a certain quantity of power, but that by default, negligence, or thoughtless and unimaginative habit, he had allowed someone else to pick it up, rather than use it himself for his own purposes.
The German anarchist Gustav Landauer made a profound and simple contribution to the analysis of the state and society in one sentence: "The state is not something which can be destroyed by a revolution, but is a condition, a certain relationship between human beings, a mode of human behaviour; we destroy it by contracting other relationships, by behaving differently." (This is a refinement of the idea I have just suggested of personal quotas lying around waiting to be used and since we haven't the initiative to use them ourselves being adopted by the state so that a power vacuum is avoided.) It is we and not an abstract outside entity, Landauer implies, who behave in one way or the other, state-wise or society-wise, politically or socially.

Landauer's friend and executor, Martin Buber, in his essay Society and the State begins with an observation of the American sociologist Robert Maclver that "to identify the social with the political is to be guilty of the grossest of all confusions, which completely bars any understanding of either society or the state." And he goes on to trace through philosophers from Plato to Bertrand Russell, the confusion between the social and the political. The political principle, for Buber, is characterised by power, authority, hierarchy, dominion. The social principle he sees wherever men link themselves in an association based on a common need or a common interest.

What is it, he asks, that gives the political principle its ascendancy? And he answers, "The fact that every people feels itself threatened by the others gives the State its definite unifying power; it depends upon the fact of self preservation of society itself, the latent external crisis enables it to get the upper hand in internal crises. A permanent state of true, positive and creative peace between the peoples would greatly diminish the supremacy of the political principle over the social."

All forms of government" Buber goes on, "have this in common: each possesses more power than is required by the given conditions; in fact, this excess in the capacity for making dispositions is actually what we understand by political power. The measure of this excess, which cannot of course be computed precisely, represents the exact difference between administration and government." He calls the excess the "political surplus" and observes that "It's justification derives from the external and internal instability, from the latent state of crisis between nations and within every nation. The political principle is always stronger in relation to the social principle than the given conditions require. The result is a continuous diminution in social spontaneity."

The conflict between these two principles, dominion and free association as Gierke called them, rajñiti and loksñiti as Jayaprakash Narayan calls them, is a permanent aspect of the human condition. "The movement of opposition between the State and society" said Lorenz von Stein, "is the content of the whole history of all peoples." Or as Kropotkin put it in Modern Science and Anarchism "Throughout the history of our civilisation, two traditions, two opposed tendencies, have been in conflict: the Roman tradition and the popular tradition, the imperial tradition and the federalist tradition, the authoritarian tradition and the libertarian tradition."

There is an inverse correlation between the two: the strength of one is the weakness of the other. If we want to strengthen society we must weaken the state. Totalitarians of all kinds realise this; which is why they invariably seek to destroy those social institutions which they cannot dominate.

Shorn of the metaphysics with which politicians and philosophers have enveloped it, the state can be defined as a political mechanism using force, and to the sociologist it is one amongst many forms of social organisation. It is however "distinguished from all other associations by its exclusive investment with the final power of coercion" (Maclver and Page: Society). And against whom is this final power directed? It is directed at the enemy without, but it is aimed at the subject society within.

This is why Buber declares that it is the maintenance of the latent external crisis that enables the state to get the upper hand in internal crises. Is this a conscious procedure? Is it simply that wicked men control the state? Or is it a fundamental characteristic of the state as an institution? It was because, when she wrote her Reflections on War, Simone Weil drew this final conclusion, that she declared "The great error of nearly all studies of war, an error into which all socialists have fallen, has been to consider war as an episode in foreign politics, to consider it especially an act of interior politics, and the most atrocious act of all." For just as Marx found that in the era of unrestrained capitalism, competition between employers, knowing no other weapon than the exploitation of the workers, was transformed into a struggle of each employer against his own workmen, and ultimately of the entire employing class against their employees, so the State uses war and the threat of war as a weapon against its own population. "Since the directing apparatus has no other way of fighting the enemy than by sending its own soldiers, under compulsion, to their death—the war of one State against another State resolves itself into a war of the State and the military apparatus against its own people."

It doesn't look like this of course, if you are part of the directing apparatus, calculating what proportion of the population you can afford to lose in a nuclear war—just as the American government and indeed all the governments of the Great Powers are calculating. But it does look like this if you are a part of the expendable population—unless you identify your own unimportant carcass with the State apparatus— as millions do.

In the 19th century T. H. Green avowed that war is the expression of the "imperfect" state, but he was wrong. War is the health of the state, it is its "finest hour", it expresses its most perfect form. This is why the weakening of the state, the progressive development of its imperfections is a social necessity. The strengthening of other loyalties, of alternative foci of power, of different modes of human behaviour, is an essential for survival. In the 20th century, unreliability, disobedience and subversion are the characteristics of responsible citizenship in society.
Gandhi on the theory of voluntary servitude

GENE SHARP

While many of Gandhi's views were constantly developing and changing, his conception of the source of political power remained throughout his active political life essentially the same. It does not appear to have changed basically from the time he developed the political technique of Satyagraha in South Africa until his death. This view was that hierarchical social and political systems existed because of the more or less voluntary submission, co-operation and obedience of the subordinate group. This submission, with its psychological roots and its practical political manifestations, was regarded by Gandhi as the root cause of tyranny.

He granted, as we shall see, that rulers use various means to obtain this submission, and that the price of its withdrawal is often harsh repression and extreme suffering aimed at forcing a resumption of co-operation. This fact, however, did not, in his view, invalidate the theory. It remained true, he felt, that hierarchical systems ultimately depend upon the assistance of the underlings.

The basic idea

This paper has a very limited objective: to present Gandhi's views on this theory largely in his own words; there is no attempt here to analyse or criticise this aspect of Gandhi's thought. Ideas must first be understood. "No Government—much less the Indian Government" Gandhi declared, "can subsist if the people cease to serve it."1

Even the most despotic government cannot stand except for the consent of the governed, which consent is often forcibly procured by the despot. Immediately the subject ceases to fear the despotic force, his power is gone.2 I believe, and everybody must grant, that no Government can exist for a single moment without the co-operation of the people, willing or forced, and if people suddenly withdraw their co-operation in any detail, the Government will come to a standstill... It remains to be seen whether their [the masses' and the classes'] feeling is intense enough to evoke in them the measure of sacrifice adequate for successful non-co-operation.3

The popular saying, as is the king, so are the people, is only a half-truth. That is to say, it is not more true than its converse, as are the people, so is the prince. Where the subjects are watchful a prince is entirely dependent upon them for his status. Where the subjects are overtaken by sleepy indifference, there is every possibility that the prince will cease to function as a protector, and become an oppressor instead. Those who are not wide awake, have no right to blame their prince. The princes as well as the people are mostly creatures of circumstances. Enterprising princes and peoples mould circumstances for their own benefit. Manliness consists in making circumstances subservient to ourselves. Those who will not help themselves perish. To understand this principle is not to be impatient, not to reproach Fate, not to blame others. He who understands the doctrine of self-help blames himself for failure. It is on this ground that I object to violence. If we blame others where we should blame ourselves and wish for or bring about their destruction, that does not remove the root cause of the disease which on the contrary sinks all the deeper for the ignorance thereof.4

As the 1930-31 civil disobedience campaign for Indian independence was about to begin he wrote: "The spectacle of three hundred million people being cowed down by living in the dread of three hundred men is demoralising alike for the despots as for the victims.5 This concept of the relation between the dominate and subordinate groups, in Gandhi's view, applied to economic exploitation, as well as political domination:

No person can amass wealth without the co-operation, willing or forced, of the people concerned.6 The rich cannot accumulate wealth without the co-operation of the poor in society. If this knowledge were to penetrate and spread amongst the poor, they would become strong and would learn how to free themselves by means of non-violence from the crushing inequalities which have brought them to the verge of starvation.7

India's subjection voluntary

This basic view about the nature of hierarchical systems was reflected in Gandhi's belief that India's subordination to British rule was basically voluntary. This conception was expressed clearly in his 1908 pamphlet Hind Swaraj or Indian Home Rule:

The English have not taken India; we have given it to them. They are not in India because of their strength, but because we keep them. Let us now see whether these propositions can be sustained. They came to our country originally for purposes of trade. Recall the Company Bahadar. Who made it Bahadar? They had not the slightest intention at the time of establishing a kingdom. Who assisted the Company's officers? Who
was tempted at the sight of their silver? Who bought their goods? History testifies that we all did this... the English merchants were able to get a footing in India because we encouraged the fight among themselves, they sought the assistance of Company Bahadur. That co-operation was versed alike in commerce and war. It was unhampered by questions of morality. Its object was to increase its commerce and to raise money. It accepted our assistance and increased the number of its warehouses. To protect the latter it employed an army which was utilised by us also. Is it not then useless to blame the English for what we did at that time too? The Hindus and the Mahomedans were at daggers drawn. This, too, gave the Company its opportunity and thus we created the circumstances that gave the Company its control over India. Hence it is truer to say that we gave India to the English than that India was lost...

The causes that gave them India enable them to retain it. Some Englishmen state that they took and they hold India by the sword. Both these statements are wrong. The sword is entirely useless for holding India. We alone keep them.9

In 1921 he still held the view that “It is not so much British guns that are responsible for our subjection as our voluntary co-operation.”10 Twenty-five years later he still insisted: “The only constituted authority is the British. We are all puppets in their hands. But it would be wrong and foolish to blame that authority. It acts according to its nature. That authority does not compel us to be puppets. We voluntarily run into their camp. It is, therefore, open to any and everyone of us to refuse to play the British game.”10

Is evidence that, while Gandhi may have in some degree come upon this concept independently, he was influenced highly by Henry David Thoreau, especially in his Essay on the Duty of Civil Disobedience and by Leo Tolstoy both in correspondence and in Tolstoy’s A Letter to a Hindu. It is significant that in his introduction to an edition of this essay, Gandhi wrote, in Johannesburg in 1909:

If we do not want the English in India we must pay the price. Tolstoy indicates it. ‘Do not resist evil, but also do not yourselves participate in evil— in the violent deeds of the administration of the law courts, the collection of taxes, and what is more important, of the soldiers, and no one in the world will enslave you,’ passionately declares the sage of Yasnaya Polyana. Who can doubt the truth of what he says in the following: “A commercial company enslaved a nation comprising two hundred millions. Tell this to a man set free from superstition and he will fail to grasp what these words mean. What does it mean that thirty thousand people, not athletics, but rather weak and ordinary people, have enslaved two hundred millions of vigorous, clever, capable, freedom-loving people? Do not the figures make it clear that not the English, but the Indians, have enslaved themselves?”

One need not accept all that Tolstoy says... to realise the central truth of his indictment of the present system... 11

In consequence of this view, Gandhi concluded “It is my certain conviction that no man loses his freedom except through his own weakness.”12

Obtaining Submission

There were, Gandhi recognised, a number of means which régimes and ruling classes used to obtain and maintain the populace’s acqui-

escence and co-operation. The threat of violent repression and punishment was one of these. This and other needs required the creation of a class of subordinates to assist the régime in carrying out its various functions and in enforcing its will upon the populace. He wrote, for example, in 1930:

From the village headmen to their personal assistants these satraps have created a class of subordinates who, whilst they cringe before their foreign masters, in their constant dealings with the people act so irresponsibly and so harshly as to demoralise them and by a system of terrorism render them incapable of resisting corruption.13

As an example of this, Gandhi cited the political function served by Indian lawyers operating within the British system:

But the gravest injury they have done to the country is that they have tightened the English grip. Do you think that it would be possible for the English to carry on their Government without law courts? It is wrong to consider that courts are established for the benefit of the people. Those who want to perpetuate their power do so through the courts. If people were to settle their own quarrels, a third party would not be able to exercise any authority over them.

The chief thing... to be remembered is that without lawyers, courts could not have been established or conducted and without the latter the English could not rule. Supposing that there were only English judges, English pleaders and English police, they could only rule over the English. The English could not do without Indian judges and Indian pleaders.14

He roundly condemned the behaviour of such an intermediate class of Indians subservient to British interests:

A system of education which inculcated respect and attachment for the culture, traditions, and political system of the foreign occupation authorities and contributed to the reduced respect and attachment to the Indian counterpart of these, in Gandhi’s view increased submission to the British system: “To give millions of knowledge of English is to enslave them.” A resolution, drawn up by Gandhi, approved by the Congress Working Committee, and then passed by public meetings throughout India on Jan. 26, 1930 included the sentence: “Culturally the system of education has torn us from our moorings, our training has made us hug the very chains that bind us.”15

Power in political change

Gandhi saw this view of the basis of the régime’s power as fully compatible with a recognition of the importance of wielding power of some type in changing relationships between the rulers and the ruled. Some of the clearest statements on this were made during the early days
of the 1930-31 independence struggle. In early January 1930, he declared: "England will never make any real advance so as to satisfy India's aspirations till she is forced to it."17 Later the same month he wrote in Young India, "The British people must realise that the Empire is to come to an end. This they will not realise unless we in India have generated power within us to enforce our will... The real conference therefore has to be among ourselves."18 In a letter to the Viceroy in March, just before the beginning of the campaign he said:

It is not a matter of carrying conviction by argument. The matter resolves itself into one of matching forces. Conviction or no conviction, Great Britain would defend her Indian commerce and interests by all the forces at her command. India must consequently evolve force enough to free herself from that embrace of death.19

In the same letter, referring to the economic motives for maintaining British rule and the coming resistance he observed, "If the British commerce with India is purified of greed, you will have no difficulty in recognising our Independence."20 Commenting on the Viceroy's terse rejection of his effort to find a settlement acceptable to the Indian nationalists without resort to non-violent resistance, Gandhi said, "The English nation responds only to force, and I am not surprised by the Viceregal reply."21 As the movement began, he declared:

I regard this rule as a curse. I am out to destroy this system of Government. I have sung the tune of God. The King and his courtiers taught others to sing it. I was a believer in the politics of petitions, deputations, and friendly negotiations. But all these have gone to the dogs. I know that if there are not the ways to bring this Government round, sedition has become my religion.22

Social determinants of political structures

Gandhi thus regarded the existence of genuine and lasting freedom as being based upon "a craving for human liberty which prizes itself above mere selfish satisfaction of personal comforts and material wants and would readily and joyfully sacrifice these for self-preservation."23 The 1930-31 campaign was in his view aimed not so much at forcing the granting of specific political demands, as it was to raise the quality and stature of the Indian people, so that no one for long could deny them their rights.

The present campaign is not designed to establish independence but to arm the people with the power to do so.24 If they are successful in doing away with the salt tax and the liquor trade from India, there is the victory for Ahimsa. And what power on earth is there then, that would prevent Indians from getting Swaraj? If there be any such power, I shall like to see it.25

Gopi Nath Dhanav, one of Gandhi's interpreters, writes:

The idea that underlies non-co-operation is that even the evil-doer does not succeed in his purpose without carrying the victim with him, if necessary, by force, and that it is the duty of the satyagrahi to suffer for the consequences of resistance and not to yield to the will of the tyrant. If the victim continues to tolerate the wrong by passive acquiescence, directly or indirectly, the victim is an accessory to the tyrant's misdeeds.26

Swaraj was, then, aimed both at influencing the power relationships between the British Raj and the Indian nation by (1) the introduction of psychological and moral pressures by the determined defiance of the population to British rule, coupled with non-reミaliatory acceptance of the repression and suffering imposed by the régime, (2) the political impact of a large section of non-co-operating disobedient subjects on the functioning and maintenance of the régime, and (3) the improvement of the moral stature of the Indian people (through their self-suffering, defiance without retaliation, and their cutting off of the attitude of submission which would in the long run contribute to increased self-reliance and reduced submission to the British Raj. The constructive programme for producing social and economic changes without the assistance of the government was also a continuing means for producing self-rule and a weakening of the ties to the British Raj.

Gandhi thus shares Godwin's view that the outward political forms and structure are reflections of and dependent upon certain other qualities of the society, and that if freedom is to be genuine and lasting there must be changes made on a deeper level than that involved in changes in only the constitutional or institutional forms at the top.

In this context one can see why Gandhi emphasised the moral improvement of the Indian people, and the constructive programme as politically relevant. These efforts contributed to increased ability to non-co-operate with the British Raj. In turn, such non-co-operation and voluntary suffering constituted also a means of moral improvement for the Indian people, by making amends for their previous submission to foreign domination.

This combined programme of moral improvement, resistance and constructive work would, in Gandhi's view, lead to genuine self-rule which was beyond political independence alone. "When India was ready, neither the British nor the Rajahs, nor any combination of the Powers could keep India from her destined goal, her birthright, as the Lokmanya would have said."27 In this context Gandhi emphasised moral improvement as a contribution to political change:

... rulers, if they are bad, are so not necessarily or wholly by birth, but largely because of their environment... It is perfectly true that the rulers cannot alter their course themselves. If they are dominated by their environment, they do not surely deserve to be killed, but should be changed by a change of environment. But the environment is we—the people who make the rulers what they are. They are thus an exaggerated edition of what we are in the aggregate. If my argument is sound, any violence done to the rulers would be violence done to ourselves. It would be suicide. And since I do not want to commit suicide, nor encourage my neighbours to do so, I become non-violent myself and invite my neighbour to do likewise...

Moreover, violence may destroy one or more bad rulers, but like Ravana's heads, others will pop up in their places, for the root lies elsewhere. It lies in us.28

This responsibility is more ours than that of the English for the present state of things. The English will be powerless to do evil if we will but be good. Hence my incessant emphasis on reform from within.29
Change of attitude

There must, then, Gandhi insisted, be a psychological change from passive submission and acceptance of the rule of the existing powers—"that be to a determination to be self-reliant and to resist all that is regarded as unjust and tyrannical:

The way of peace insures internal growth and stability. We reject it because we fancy that it involves submission to the will of the ruler who has imposed himself upon us. But the moment we realise that the imposition is only so called and that, through our unwillingness to suffer loss of life or property, we are party to the imposition, all we need to do is to change that negative attitude of passive endorsement. The suffering to be undergone by the change will be nothing compared to the physical suffering and the moral loss we must incur in trying the way of war.30

The bond of the slave is snapped the moment he considers himself to be a free being. He will plainly tell the master: 'I was your bond slave till this moment, but I am a slave no longer. You may kill me if you like, but if you keep me alive, I wish to tell you that if you release me from the bondage, of your own accord, I will ask for nothing more from you. You used to feed and clothe me, though I could have provided food and clothing for myself by my labour...'.31

The achievement of this change in attitude toward the existing régime was an important preliminary step in producing social and political change. "My speeches", Gandhi declared, "are intended to create 'dissatisfaction' as such, that people might consider it a shame to assist or co-operate with a government that had forfeited all title to respect or support."

Political implications

In Gandhi's view, if the maintenance of an unjust or non-democratic régime is dependent upon the co-operation, submission and obedience of the populace, then the means for changing or abolishing it lies in the area of non-co-operation, defiance and disobedience. These forms of action, he was convinced, could be undertaken without the use of physical violence, and even without hostility towards the members of the opponent group. On this basis, he formulated the technique of action, Satyagraha:

This force is to violence, and, therefore, to all tyranny, all injustice, what light is to darkness. In politics, its use is based upon the immutable maxim, that government of the people is possible only so long as they consent either consciously or unconsciously to be governed.33

He regarded it as both unmanly and immoral to submit to injustice, even though the consequences for refusal to submit were severe punishment. In Hind Swaraj he wrote: "If man will only realise that he is unmanly to obey laws that are unjust, no man's tyranny will enslave him. This is the key to self-rule or home-rule..."34 When the resister was ready to cast off fear, he could then undertake the non-co-operation with the régime which could lead to its downfall. He must, however, be prepared for imprisonment and perhaps even death in the course of the struggle.

Non-co-operation

The main course of action then lay in the field of non-co-operation. Speaking to a group of West African soldiers in 1946 on the means of achieving freedom Gandhi said:

The moment the slave resolves that he will no longer be a slave, his fetters fall. He frees himself and shows the way to others. Freedom and slavery are mental states. Therefore the first thing to do is to say to yourself: 'I shall no longer impose myself on others. I shall not obey as such but shall disobey them when they are in conflict with my conscience.' The so-called master may lash you and try to force you to serve him. You will say: 'No, I will not serve you for your money or under a threat.' This may mean suffering. Your readiness to suffer will light the torch of freedom which can never be put out.35

In an article in late March 1930, on "The Duty of Disloyalty", Gandhi wrote:

It is then the duty of those who have realised the awful evil of the system of Indian Government to be disloyal to it and actively and openly preach disloyalty. Indeed, loyalty to a State so corrupt is a sin, disloyalty a virtue.

It is the duty of those who have realised the evil nature of the system, however attractive some of its features may, from their context, appear to be, to destroy it without delay. It is their clear duty to run any risk to achieve that end.

But it must be equally clear that it would be cowardly for three hundred million people to seek to destroy the three hundred authors or administrators of the system. It is a sign of gross ignorance to devise means of destroying these administrators or their hirelings. Moreover they are but creatures of circumstances. The purest man entering the system will be affected by it, and will be instrumental in propagating the evil. The remedy therefore naturally is not being enraged against the administrators and therefore hating them, but to non-co-operate with the system by withdrawing all the voluntary assistance possible and refusing all its so-called benefits.36

Writing in 1920 on non-co-operation, Gandhi said:

If a father inflicts an injustice it is the duty of his children to leave the parental house. If the headmaster of a school conducts his institution on an immoral basis, the pupils must leave the school. If the chairman of a corporation is corrupt the members thereof must wash their hands clean of his corruption. If, by withdrawing from it, even so if a Government does a grave injustice the subjects must withdraw co-operation wholly or partially, sufficiently to wear the ruler from his wickedness. In each case conceived by my theory is an element of suffering whether mental or physical. Without such suffering it is not possible to attain freedom.37

Faced with a demand, backed by threat of violence, regarded as unjust, the non-violent man "... was not to return violence by violence but neutralize it by withholding one's hand and, at the same time, refusing to submit to the demand."38

The means of non-co-operation were regarded by Gandhi as applicable to social and economic conflicts as well as to political ones. During his stay in London in 1931, some young Communists asked how Gandhi actually proposed to bring the new order into being if he abjured the use of violence. Was it to be by persuasion? Gandhi answered, "Not merely by verbal persuasion. I will concentrate on my means... My means are non-co-operation."39
And in 1940 he wrote, “If however, in spite of the utmost effort, the rich do not become guardians of the poor in the true sense of the term and the latter are more and more crushed and die of hunger, what is to be done? In trying to find out the solution of this riddle I have alligned on non-violent non-co-operation and civil disobedience as the right and infallible means.”

Other advocates of the theory that governments and other hierarchical systems can be modified or destroyed by a withdrawal of submission, co-operation and obedience have indicated certain lines along which such withdrawal might be practiced. However, Gandhi was the first to formulate over a period of years a major system of resistance based upon this assumption. We have as yet seen only the initial stages of the political application of this theory.

1. Young India 5/5/1920.
3. Young India 18/8/1920.
4. Young India 8/1/1925.
5. Young India 27/3/1930.
9. Young India 9/2/1921.
16. All-India Congress Committee: Congress Bulletin 171/30.
27. Harajan 2/5/47.
29. Bose: op. cit.
30. Young India 20/5/26.
33. Indian Opinion, Golden Number, 1914.
34. Gandhi: op. cit.
35. Harajan 24/2/1946.
36. Young India 27/3/1930.
37. Young India 16/6/1920.
38. Young India 26/11/1931.

Disobedience, in the eyes of anyone who has read history, is man's original virtue. It is through disobedience that progress has been made, through disobedience and through rebellion.

—OSCAR WILDE: “The Soul of Man under Socialism.”

If the alternative is to keep all just men in prison, or give up war and slavery, the State will not hesitate which to choose. If a thousand men were not to pay their tax-bills this year, that would not be a violent and bloody measure, as it would be to pay them and enable the State to commit violence and shed innocent blood. This is in fact the definition of a peaceful revolution, if any such is possible. If the tax-gatherer or any public officer asks me, as one has done, “But what shall I do?” my answer is, “If you really wish to do anything, resign your office.” When the subject has refused allegiance, and the officer has resigned his office, then the revolution is accomplished. But suppose blood should flow. Is there not a sort of blood shed when the conscience is wounded? Through this wound a man’s real manhood and immortality flow out, and he bleeds to an everlasting death. I see this blood flowing now.

Henry David Thoreau: “Resistance to Civil Government”, 1848

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